

(PCT 09)

## CRF Problem Report

BIOTECHNOLOGY  
SYSTEMS  
SUNCH

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/673,448

Filing Date: 10-16-00

Date Processed by STIC: 2-15-01

STIC Contact: Mark Spencer, 703-308-4212

Nature of Problem:

The CRF (was):

- Damaged or Unreadable (for Unreadable, see attached)
- Blank (no files on CRF) (see attached)
- Empty file (filename present, but no bytes in file) (see attached)
- Virus-infected. Virus name: \_\_\_\_\_ The STIC will not process the CRF.
- Not saved in ASCII text
- Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.
- Did not contain a Sequence Listing. (see attached sample)
- Other: Non - valid format.

**PLEASE USE THE CHECKER VERSION 3.0 PROGRAM TO REDUCE ERRORS.  
SEE BELOW FOR DETAILS:**

**Checker Version 3.0**

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST 25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

**Checker Version 3.0 can be down loaded from the USPTO website at the following address:  
<http://www.uspto.gov/web/offices/pac/checker>**

Does Not Comply  
Corrected Diskette Needed

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RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/673,448

DATE: 02/15/2001  
TIME: 14:24:40

Input Set : A:\Q61152seq.txt  
Output Set: N:\CRF3\02152001\I673448.raw

Format Errors.  
See p. 2

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Sequence Listings: → Not needed with new format.

<110> Applicant: Commonwealth Scientific and Industrial Research Organisation

<120> Title: Assay for methylation in the GST-Pi gene

<150> Prior Application Number: PP3129

<151> Prior Application Filing Date: 1998-04-23

<160> Number of SEQ ID NOS: 59

<170> Software: PatentIn Ver. 2.1

<210> SEQ ID NO: 1

<211> Length: 29

<212> Type: DNA

<213> Organism: Homo sapiens

<400> Sequence: 1

cgcgagggtt tcgttgagtt ttcgtcgtc

<210> SEQ ID NO: 2

<211> Length: 25

<212> Type: DNA

<213> Organism: Homo sapiens

<400> Sequence: 2

cgttattatgt gagtacgcgc ggttc

Include <140>, <141>  
current application data.

With new format only  
include numerical headers  
and brackets, and the response.

29

\* Note: An example of correct  
format is attached.  
25

Appendix A To Subpart G. to Part I—Sample Sequence Listing

<110> Smith, John

Smith, Jane

<120> Example of a Sequence Listing

<130> 01-00001

<140> US 08/999,999

<141> 1998-02-28

<150> EP 91000000

<151> 1997-12-31

29640

Federal Register / 63, No. 104/Monday, June 1, 1998 / Notices and Regulations

<160> 2

<170> PatentIn ver. 2.0

<210> 1

<211> 403

<212> DNA

<213> Paramecium aurelia

<220>

<221> CDS

<222> 341..394

<300>

<301> Doe, Richard

<302> Isolation and Characterization of a Gene Encoding a  
Protease from Paramecium sp.

<303> Journal of Fictional Genes

<304> 1

<305> 4

<306> 1 - 7

<307> 1988-06-20

<400> 1

ctactctact ctactctcat ctactatctt ctttgatct ctgagtctgc ctgagtgta 60

ctcttgagtc ctggagatct ctccctctcac atgtgatcgt cgagactgac cgatagatcg 120

ctgactgact ctgagatagt cgagcccgta cgagacccgt cgagggtgac agagagtggg 180

cgcgtgcgcg cagagcgccg cgccgggtgcg cgcgcgagtg cgccgggtggc cgcgcgaggg 240

ctttcgccgc agcggcgccg ctttccggcg cgcccccgtc cgcccctaga cctgagaggt 300

cttctcttcc ctccctttca ctagagaggt ctatataac atg gtt tca atg ttc 355

Met Val Ser Met Phe

agc ttg tct ttc aaa tgg cct gga ttt tgt ttg ttt gtc tgcc 403

Ser Leu Ser Phe Lys Trp Pro Gly Phe Cys Leu Phe Val

10

15

<210> 2

<211> 18

<212> PRT

<213> Paramecium aurelia

<400> 2

Met Val Ser Met Phe Ser Leu Ser Phe Lys Trp Pro Gly Phe Cys Leu

1

5

10

15

Phe Val

Numeric Identifier	Definition	Comments and format	Mandatory (M) or optional (O)
<110> .....	Applicant .....	Preferably max. of 10 names; one name per preferable format: Surname, Other Names or Initials.	M.
<120> .....	Title of Invention .....	Personal file reference .....	M.
<130> .....	File Reference .....	Specify as: US 07/999,999 or PCT/US96/99999	M when filed prior to assignment of appl. number.
<140> .....	Current Application Number.	Specify as: yyyy-mm-dd .....	M, if available.
<141> .....	Current Filing Date .....	Specify as: US 07/999,999 or PCT/US96/99999	M, if applicable include priority documents under 35 USC 119 and 120.
<150> .....	Prior Application Number.	Specify as: yyyy-mm-dd .....	M, if applicable.
<151> .....	Prior Application Filing Date.	Count includes total number of SEQ ID NOs .....	M.
<160> .....	Number of SEQ ID NOs	Name of software used to create the Sequence Listing.	O.
<170> .....	Software .....	Response shall be an integer representing the SEQ ID NO shown.	M.
<210> .....	SEQ ID NO:#: .....	Respond with an integer expressing the number of bases or amino acid residues.	M.
<211> .....	Length .....		
Numeric Identifier	Definition	Comments and format	Mandatory (M) or optional (O)
<212> .....	Type .....	Whether presented sequence molecule is DNA, RNA, or PRT (protein). If a nucleotide sequence contains both DNA and RNA fragments, the type shall be "DNA." In addition, the combined DNA/RNA molecule shall be further described in the <220> to <223> feature section.	M.
<213> .....	Organism .....	Scientific name, i.e. Genus/ species, Unknown or Artificial Sequence. In addition, the "Unknown" or "Artificial Sequence" organisms shall be further described in the <220> to <223> feature section.	M
<220> .....	Feature .....	Leave blank after <220>. <221-223> provide for a description of points of biological significance in the sequence..	M, under the following conditions: if "n," "Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence; if ORGANISM is "Artificial Sequence" or "Unknown"; if molecule is combined DNA/RNA"
<221> .....	Name/Key .....	Provide appropriate identifier for feature, preferably from WIPO Standard ST.25 (1998), Appendix 2, Tables 5 and 6.	M, under the following conditions: if "n," "Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence.
<222> .....	Location .....	Specify location within sequence; where appropriate state number of first and last bases/amino acids in feature.	M, under the following conditions: if "n," "Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence.
<223> .....	Other Information .....	Other relevant information; four lines maximum ...	M, under the following conditions: if "n," "Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence; if ORGANISM is "Artificial Sequence" or "Unknown"; if molecule is combined DNA/RNA.
<300> .....	Publication Information .....	Leave blank after <300> .....	O.
<301> .....	Authors .....	Preferably max of ten named authors of publication; specify one name per line; preferable format: Surname, Other Names and/or Initials.	O.
<302> .....	Title .....		O.
<303> .....	Journal .....		O.
<304> .....	Volume .....		O.
<305> .....	Issue .....		O.
<306> .....	Pages .....		O.
<307> .....	Date .....	Journal date on which data published; specify as yyyy-mm-dd, MMM-yyyy or Season-yyyy.	O.
<308> .....	Database Accession Number.	Accession number assigned by database including database name.	O.
<309> .....	Database Entry Date .....	Date of entry in database; specify as yyyy-mm-dd or MMM-yyyy.	O.
<310> .....	Patent Document Number.	Document number; for patent-type citations only. Specify as, for example, US 07/999,999.	O.
<311> .....	Patent Filing Date .....	Document filing date, for patent-type citations only; specify as yyyy-mm-dd.	O.
<312> .....	Publication Date .....	Document publication date, for patent-type citations only; specify as yyyy-mm-dd.	O.
<313> .....	Relevant Residues .....	FROM (position) TO (position) .....	O.
<400> .....	Sequence .....	SEQ ID NO should follow the numeric identifier and should appear on the line preceding the actual sequence.	M.